# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

: Alfred SCHUETZ Applicant Filed : : 03/24/2006

: Not Yet Assigned TC/A.U. : Not Yet Assigned Examiner

Docket No. : 037051.57538US

Customer No. : 23911

Title:

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.97 and 1.98

### **Mail Stop PCT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, the attached Form PTO-1449 lists documents which the Examiner may deem relevant to patentability of the claims of the above-identified application.

#### Time Period of Submission I.

This Information Disclosure Statement is submitted:

1) no later than three months from the application's filing date or 2) before the mailing date of the first Office Action on the merits (whichever is later) or 3) before a first Office Action after the filing of a Request for Continued Examination, and therefore no statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R.§ 1.17(p) is required.
2) after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, a Notice of Allowance, or an action closing prosecution (Exparte Quayle), (whichever is earlier), and therefore Applicant is filing concurrently herewith:
a Statement under 37 C.F.R. § 1.97(e); or
a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p).

RLG/mns Docket No: 037051.57538US Page 1 of 5

3) after either a Final Office Action or a Notice of Allowance, but before payment of the Issue Fee, and therefore Applicant is submitting herewith:
a Statement under 37 C.F.R. § 1.97(e); and
a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p).
4) within 30 days of receipt by any individual designated in 37 C.F.R. § 1.56(c) of a communication from a foreign patent office in a counterpart application in which each item of information contained in this information disclosure statement was first cited, and therefore Applicant is submitting herewith:
a Statement under 37 C.F.R. § 1.704(d).
II. Statement Under 37 C.F.R. § 1.97(e)
I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
I hereby state that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making a reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement; or
I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.
III. Submission of Non-English Language Documents
The following is a concise explanation of relevance of the non- English language documents listed in the attached Form PTO-1449:
The relevance of document(s) to the subject matter of the present invention is/are provided in the specification of the above-identified application.
A copy of a PCT Search Report for the corresponding PCT application, together with an English-language version(s) (if not already in

Docket No: 037051.57538US

Page 2 of 5 RLG/mns

English) of that portion of the report indicating the degree of relevance found by the foreign office is submitted.
English language family member publication(s) of document(s) is/are noted on Form PTO-1449.
English language abstract(s) is/are submitted for document(s) as noted on the Form 1449.
English translation(s) of the foreign language document(s) is/are submitted herewith.
Applicant submits the following explanations:
IV. Continuations/Divisionals/PCT National Stage Applications  Documents were of record in parent application Serial No, filed, from which this application claims benefit. As provided in 37 C.F.R. §1.98(d), copies of the documents are not being provided since they were previously submitted to or cited by the United States Patent and Trademark Office in the afore-mentioned parent application.
Copies of document(s) listed on the attached form PTO-1449 have already been provided by the International Searching Authority, therefore, duplicate copies are not attached hereto.
The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.
: · · ·

100573366 AP20 Recidentia 24 MAR 2006

If necessary, this paper should be considered as an authorization to charge Deposit Account 05-1323, Attorney Docket No.: 037051.57538US, for the fee set forth in 37 C.F.R. § 1.17(p).

Respectfully submitted,

**CROWELL & MORING LLP** 

Dated: March 24, 2006

Robert L. Grabarek, Jr.

Reg. No. 40,625

Tel.: (949) 263-8400 (Pacific Coast)

Attachments

Intellectual Property Group P.O. Box 14300 Washington, D.C. 20044-4300

Docket No: 037051.57538US



U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449A/PTO Not Yet Assigned -**Application Number** INFORMATION DISCLOSURE 03/24/2006 Filing Date STATEMENT BY APPLICANT First Named Inventor Alfred SCHUETZ Art Unit **Not Yet Assigned Examiner Name** Not Yet Assigned (use as many sheets as necessary) Sheet **Attorney Docket Number** 037051.57538US

	•		U.S. PATENT	DOCUMENTS	
Examiner Initials'	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US 4,214,871	07-29-1980	Arnold	-
		US 4,961,698	10-09-1990	Vlock	
		US 5,474,451	12-12-1995	Dalrymple, et al.	
	-	US-			
	:	US-			
		US-			
	;	US-	····		
_	· ·	US-			
		US-	-		
		US-			

			FOREIGN PAT	TENT DOCUMENTS		
	:	Foreign Patent Document			Pages, Columns, Lines,	T <sup>6</sup>
		Country Code <sup>3</sup> –Number <sup>4</sup> –Kind Code <sup>5</sup> (if known)	Publication		Where Relevant Passage s or	
			Date		Relevant	
Examiner Initials'	Cite No.1		MM-DD- YYYY	Name of Patentee or Applicant of Cited Document	Figures Appear	
		DE 3322716A1	01-03-1985	Kuno Moser GmbH		abstract
	:		OTHER	DOCUMENTS		
PCT/EP20	004/0083	378 International Search Repo	rt			•

•	
Examiner .	Date
Signature	Considered

"EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.